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IBM Docket No. BUR920000141US1

TC 1700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Amendment Response/Transmittal

In re application of: Nicole S. Carpenter et al.

Serial No. 09/892,954

Filed: June 27, 2001

For: CLEANING OF SEMICONDUCTOR WAFERS BY CONTAMINATE ENCAPSULATION

Mail Stop AF  
Commissioner for Patents  
P.O. BOX 1450  
Alexandria, VA 22313-1450

DeLIO & PETERSON, LLC  
121 Whitney Avenue  
New Haven, CT 06510-1241

Sir:

Date: November 19, 2003

Transmitted herewith for filing is an **Amendment/Response** in the above-identified Application.

- ☒ No additional fee is required.  
The fee has been calculated as shown below:

| (Col. 1)                                      |   | (Col. 2) |                                 | (Col. 3)      |
|---|---|----------|---------------------------------|---------------|
| CLAIMS REMAINING AFTER AMENDMENT              |   |          | HIGHEST NO. PREVIOUSLY PAID FOR | PRESENT EXTRA |
| TOTAL   | 9 | MINUS    | 25                              | = 0           |
| INDEPENDENT                                   | 1 | MINUS    | 3                               | = 0           |
| 1ST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS |   |          |                                 |               |

| Other Than Small Entity |                |
|-------------------------|----------------|
| RATE                    | ADDITIONAL FEE |
| x \$ 18.00 =            | \$ 0           |
| x \$ 84.00 =            | \$ 0           |
| \$280.00                | \$             |
| TOTAL                   | \$ 0           |

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.  
\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".  
\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest No. Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☒ Please charge the Assignee IBM Corporation Deposit Account No. **09-0456** in the amount of \$ 0. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **09-0456**. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. §1.16.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17.

|  |
|--|
| <input type="checkbox"/> CERTIFICATION OF FACSIMILE TRANSMISSION<br>I hereby certify that this paper is being facsimile transmitted to the Assistant Commissioner for Patents on the date shown below.   |
| <input checked="" type="checkbox"/> CERTIFICATE OF MAILING UNDER 37 CFR 1.8<br>I hereby certify that I am depositing the enclosed or attached correspondence with the United States Postal Service as first class mail in an envelope addressed to Mail Stop NON-FEE AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on<br>November 19, 2003<br><u>Carol M. Thomas</u><br>Name of person mailing paper<br><u>11/19/03</u><br>Date<br><u>[Signature]</u><br>Signature |

Respectfully submitted,

BY: [Signature]  
Attorney: John J. Tomaszewski  
Registration No. 26,241  
(203) 787-0595

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AFTER FINAL

DOCKET: BUR920000141US1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|              |   |   |           |                   |
|--------------|---|---|-----------|-------------------|
| INVENTOR:    | Nicole S. Carpenter et al.  | ) | EXAMINER: | Gentle E. Winter  |
|              |   | ) |           |                   |
| SERIAL NO.:  | 09/892,954  | ) | ART UNIT: | 1746              |
|              |   | ) |           |                   |
| FILING DATE: | June 27, 2001   | ) | DATE:     | November 19, 2003 |
|              |   | ) |           |                   |
| FOR:         | CLEANING OF<br>SEMICONDUCTOR<br>WAFERS BY<br>CONTAMINATE<br>ENCAPSULATION | ) |           |                   |

AMENDMENT AFTER FINAL REJECTION

Mail Stop AF  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date indicated below as first class mail in an envelope addressed to the Mail Stop AF, Commissioner of Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Name: Carol M. Thomas Date: November 19, 2003

Signature: *Carol M. Thomas*

Dear Sir:

Responsive to the Final Rejection Office Action mailed September 30, 2003, please reconsider the above-identified application in view of the following amendments and remarks.